

<b>Interview Summary</b>	Application No.	Applicant(s)	
	10/826,709	GAONKAR ET AL.	
	Examiner	Art Unit	
	Maureen C. Donovan	1761	

All participants (applicant, applicant's representative, PTO personnel):

(1) Maureen C. Donovan.

(3) Tim Levistick.

(2) Keith Hendricks.

(4) \_\_\_\_\_.

Date of Interview: 23 June 2005.

Type: a) ☐ Telephonic b) ☐ Video Conference  
c) ☒ Personal [copy given to: 1) ☐ applicant 2) ☐ applicant's representative]

Exhibit shown or demonstration conducted: d) ☐ Yes e) ☒ No.

If Yes, brief description: \_\_\_\_\_.

Claim(s) discussed: Discussed proposed claim amendments (see attached).

Identification of prior art discussed: \_\_\_\_\_.

Agreement with respect to the claims f) ☐ was reached. g) ☐ was not reached. h) ☒ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: See Continuation Sheet.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

  
 Examiner's signature, if required

## Summary of Record of Interview Requirements

### Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

### Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

#### Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

#### 37 CFR §1.2 Business to be transacted in writing.

All business with the Patent and Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,  
(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

### Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Continuation of Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: Presented clarification regarding overall intent of the invention and the problem being solved, namely the importance of the 0.1 micron sized lipid to maintaining the integrity of the moisture barrier. Discussed references and ability to combine those references in view of the ranges overlapping. Discussed proposed claim amendments incorporating language to more clearly define the size of the lipids being used and the importance of defining the temperatures as claimed. Noted that the applicant was moving in the correct direction regarding overcoming the prior art applied and more accurately defining the lipid size, however that a new search would need to be performed on claim content not previously searched.

**DRAFT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln No.: 10/826,709

Confirmation No. 1774

Filed: April 16, 2004

Applicants: Gaonkar et al.

Title: Multilayer Edible Moisture Barrier for  
Food Products

Art Unit: 1761

Examiner: M. Donovan

Attorney Docket No.: 77046

Customer No.: 22242

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this date.

Date

Timothy E. Levstik  
Registration No. 30,192  
Attorney for Applicant(s)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

DRAFT AMENDMENT

Madam:

In response to the outstanding Office Action mailed March 24, 2005, please amend the above-identified patent application as follows.

1. (Currently amended) A multilayer edible moisture barrier suitable to separate food components having different water activities in a food product, said moisture barrier comprising:  
at least one lipid layer, wherein the at least one lipid layer comprises from about 65 weight percent of an edible low melting triglyceride blend having a melting point of 35 C or

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lower, ~~and the lipid layer including from about 1 to about 35 percent of the fat crystal control agent and wherein the fat crystal control agent is an edible microparticulated high melting lipid having a melting point of 70 C or higher and a volume average particle size of less than about 10 microns~~ at least about 5 percent of the lipid particles having a particle size of not more than 1 micron, the lipid layer having a solid fat content of from about 50 to about 70 percent at a storage temperature, the solid fat content of the lipid layer not changing more than about 5% within a temperature range of  $\pm 5^{\circ}\text{C}$ ; and

at least one flexible hydrophobic barrier layer.

20. (currently amended) A method for reducing moisture migration between food components having different water activities in a food product, said method comprising applying an edible multilayer moisture barrier between the food components, wherein the edible multilayer moisture barrier comprises at least one lipid layer comprising from about 65 weight percent of an edible low melting triglyceride blend having a melting point of 35°C or lower; and at least one flexible hydrophobic layer, the lipid layer including about 1 to about 35 percent of the fat crystal control agent and wherein the fat crystal control agent is an edible microparticulated high melting lipid having a melting point of 70°C or higher and a volume average particle size of less than about 10 microns, at least about 5 percent of the lipid particles having a particle size of not more than 1 micron, the lipid layer having a solid fat content of from about 50 to about 70 percent at a storage temperature, the solid fat content of the lipid layer not changing more than about 5% within a temperature range of 5°C to minus 5°C.